Docket No.

274796US3X PCT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Tetsuya HIRUMA, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/JP04/17033

GAU:

FILED: Herewith

EXAMINER:

FOR:

AN ENVELOPE FOR RECOVERING A RECORDING LIQUID CARTRIDGE

# **INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

| The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed |
|-------------------------------------------------------------------------------------------------------------------|
| references are attached, where required, as are either statements of relevancy or any readily available English   |
| translations of pertinent portions of any non-English language references.                                        |

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### **RELATED CASES**

| Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449. |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).                                                                                                                                                                                                                                                                                      |

#### **CERTIFICATION**

| Each item of information contained in this information disclosure statement was first cited in any communication    |
|---------------------------------------------------------------------------------------------------------------------|
| from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of |
| this statement                                                                                                      |

No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

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Surinder Sachar

Registration No. 34,423

SHEET 1 OF 1

| Form PTO 1449       | U.S. DEDADTMENT OF COMMERCE                             |                                                  | OF COMMERCE                                          | ATTY DOCKET NO.                                                                                                     |            | SERIAL 100 / 5 4 1 6 3                           |                                          |  |
|---------------------|---------------------------------------------------------|--------------------------------------------------|------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------|------------|--------------------------------------------------|------------------------------------------|--|
| (Modified)          | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE |                                                  |                                                      | 274796US3X PCT                                                                                                      |            | New U.S. PCT Application Based on PCT/JP04/17033 |                                          |  |
|                     |                                                         |                                                  |                                                      | APPLICANT Based 5111 5 1761 5 11 17635                                                                              |            |                                                  |                                          |  |
| LIST OF             | REFE                                                    | RENCES CITED BY API                              | PLICANT                                              | Tetsuya HIRUMA, et al.                                                                                              |            |                                                  |                                          |  |
|                     |                                                         |                                                  |                                                      | FILING DATE                                                                                                         |            | GROUP                                            |                                          |  |
|                     |                                                         |                                                  |                                                      | Herewith                                                                                                            |            |                                                  |                                          |  |
|                     |                                                         |                                                  |                                                      | U.S. PATENT DOCUMENTS                                                                                               |            |                                                  |                                          |  |
| EXAMINER<br>INITIAL |                                                         | DOCUMENT<br>NUMBER                               | DATE                                                 | NAME                                                                                                                | CLASS      | SUB<br>CLASS                                     | FILING DATE<br>IF APPROPRIATE            |  |
|                     | AA                                                      |                                                  |                                                      |                                                                                                                     |            |                                                  |                                          |  |
|                     | AB                                                      |                                                  |                                                      |                                                                                                                     |            |                                                  |                                          |  |
|                     | AC                                                      |                                                  |                                                      |                                                                                                                     |            |                                                  |                                          |  |
|                     | AD                                                      |                                                  |                                                      |                                                                                                                     |            |                                                  |                                          |  |
|                     | AE                                                      |                                                  |                                                      |                                                                                                                     |            |                                                  |                                          |  |
|                     | AF                                                      |                                                  |                                                      |                                                                                                                     |            |                                                  |                                          |  |
|                     | AG                                                      |                                                  |                                                      |                                                                                                                     |            |                                                  |                                          |  |
|                     | AH                                                      |                                                  | ł                                                    |                                                                                                                     |            |                                                  |                                          |  |
|                     | Al                                                      |                                                  |                                                      |                                                                                                                     |            |                                                  |                                          |  |
|                     | AJ                                                      |                                                  |                                                      |                                                                                                                     |            |                                                  |                                          |  |
| ****                | AK                                                      |                                                  | <del></del>                                          |                                                                                                                     |            |                                                  |                                          |  |
|                     | AL                                                      |                                                  |                                                      |                                                                                                                     |            |                                                  |                                          |  |
|                     | AM                                                      |                                                  |                                                      |                                                                                                                     |            |                                                  |                                          |  |
|                     | AN                                                      |                                                  |                                                      |                                                                                                                     |            |                                                  |                                          |  |
|                     |                                                         |                                                  |                                                      |                                                                                                                     |            |                                                  |                                          |  |
|                     |                                                         |                                                  | FO                                                   | REIGN PATENT DOCUMENTS                                                                                              | 1          |                                                  |                                          |  |
|                     |                                                         | DOCUMENT                                         |                                                      | · · · · · · · · · · · · · · · · · · ·                                                                               |            | 11 201                                           | TRANSI ATION                             |  |
|                     |                                                         | DOCUMENT<br>NUMBER                               | FO<br>DATE                                           | REIGN PATENT DOCUMENTS COUNTRY                                                                                      |            | YES                                              |                                          |  |
| :                   | AO                                                      |                                                  |                                                      | · · · · · · · · · · · · · · · · · · ·                                                                               |            | l                                                | S NO NO                                  |  |
|                     | AO<br>AP                                                | NUMBER                                           | DATE                                                 | COUNTRY                                                                                                             |            | l                                                | S NO                                     |  |
|                     |                                                         | NUMBER<br>2001-247134                            | DATE<br>09/11/01                                     | COUNTRY  JP(with English abstract)                                                                                  |            | l                                                | S NO NO                                  |  |
|                     | AP                                                      | NUMBER<br>2001-247134<br>8-324654                | DATE<br>09/11/01<br>12/10/96                         | JP(with English abstract) JP(with English abstract)                                                                 |            | l                                                | NO NO NO                                 |  |
|                     | AP<br>AQ                                                | NUMBER 2001-247134 8-324654 2003-182804          | DATE<br>09/11/01<br>12/10/96<br>07/03/03             | COUNTRY  JP(with English abstract)  JP(with English abstract)  JP(with English abstract)                            |            | l                                                | NO NO NO                                 |  |
|                     | AP<br>AQ<br>AR                                          | NUMBER 2001-247134 8-324654 2003-182804          | DATE<br>09/11/01<br>12/10/96<br>07/03/03             | COUNTRY  JP(with English abstract)  JP(with English abstract)  JP(with English abstract)                            |            | l                                                | NO NO NO                                 |  |
|                     | AP<br>AQ<br>AR<br>AS                                    | NUMBER 2001-247134 8-324654 2003-182804          | DATE<br>09/11/01<br>12/10/96<br>07/03/03             | COUNTRY  JP(with English abstract)  JP(with English abstract)  JP(with English abstract)                            |            | l                                                | NO NO NO                                 |  |
|                     | AP<br>AQ<br>AR<br>AS<br>AT                              | NUMBER 2001-247134 8-324654 2003-182804          | DATE<br>09/11/01<br>12/10/96<br>07/03/03             | COUNTRY  JP(with English abstract)  JP(with English abstract)  JP(with English abstract)                            |            | l                                                | NO NO NO                                 |  |
|                     | AP<br>AQ<br>AR<br>AS<br>AT<br>AU                        | NUMBER 2001-247134 8-324654 2003-182804 5-305791 | DATE<br>09/11/01<br>12/10/96<br>07/03/03<br>11/19/93 | COUNTRY  JP(with English abstract)  JP(with English abstract)  JP(with English abstract)                            | t Pages, e | YES                                              | NO NO NO                                 |  |
|                     | AP<br>AQ<br>AR<br>AS<br>AT<br>AU                        | NUMBER 2001-247134 8-324654 2003-182804 5-305791 | DATE<br>09/11/01<br>12/10/96<br>07/03/03<br>11/19/93 | COUNTRY  JP(with English abstract)  JP(with English abstract)  JP(with English abstract)  JP(with English abstract) | t Pages, e | YES                                              | NO NO NO                                 |  |
|                     | AP AQ AR AS AT AU AV                                    | NUMBER 2001-247134 8-324654 2003-182804 5-305791 | DATE<br>09/11/01<br>12/10/96<br>07/03/03<br>11/19/93 | COUNTRY  JP(with English abstract)  JP(with English abstract)  JP(with English abstract)  JP(with English abstract) | t Pages, e | YES                                              | NO NO NO                                 |  |
|                     | AP AQ AR AS AT AU AV                                    | NUMBER 2001-247134 8-324654 2003-182804 5-305791 | DATE<br>09/11/01<br>12/10/96<br>07/03/03<br>11/19/93 | COUNTRY  JP(with English abstract)  JP(with English abstract)  JP(with English abstract)  JP(with English abstract) | t Pages, e | YES                                              | NO NO NO                                 |  |
|                     | AP AQ AR AS AT AU AV AW                                 | NUMBER 2001-247134 8-324654 2003-182804 5-305791 | DATE<br>09/11/01<br>12/10/96<br>07/03/03<br>11/19/93 | COUNTRY  JP(with English abstract)  JP(with English abstract)  JP(with English abstract)  JP(with English abstract) |            | YES                                              | NO NO NO                                 |  |
| Examiner            | AP AQ AR AS AT AU AV  AW AX                             | NUMBER 2001-247134 8-324654 2003-182804 5-305791 | DATE<br>09/11/01<br>12/10/96<br>07/03/03<br>11/19/93 | COUNTRY  JP(with English abstract)  JP(with English abstract)  JP(with English abstract)  JP(with English abstract) |            | tc.)                                             | S NO |  |

New U.S. PCT Application Based on PCT/JP04/17033 Docket No.: 274796US3X PCT

# STATEMENT OF RELEVANCY

| 1) References <u>AO and AP</u> have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority. |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 2) Reference have been cited in the corresponding Search Report. A copy of this reference is being submitted herewith.                                                                                                    |
| 3) References <u>AQ and AR</u> are discussed in the specification. A copy of these references is being submitted here with.                                                                                               |
| 4) Referenceis additional prior art known to Applicant. A copy of these references is being submitted herewith.                                                                                                           |